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Please type plus sign inside this box + PTO/SB/05(03/01) Approved for use through 10/31/2002 OMB 0651-0032 +

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 1.53(b))		Attorney Docket No.		18872.0004	
		First Inventor		Ron McAlpine et al.	
		Title		Photomultiplier	
		Express Mail Label No.		EF380079813US	

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. ☒ Applicant claims small entity status.
See CFR 1.27.

3. ☒ Specification [Total Pages / 14 /]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 5 /]

5. ☐ Oath or Declaration [Total Pages / /]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent

17. ☒ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: /

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME Martin G. Linihan
Hodgson Russ LLP

ADDRESS One M&T Plaza, Suite 2000

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Name (Print/Type) Martin G. Linihan Registration No. (Attorney/Agent) 24,926

Signature *Martin G. Linihan* Date November 30, 2001

"Express Mail" Mailing Label Number EF380079813US

Date of Deposit November 30, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

Martin G. Linihan
Name

Martin G. Linihan
Signature

629028

FEE TRANSMITTAL for FY 2002

Patent Fees are subject to annual revision.

Application Number

Filing Date

November 30, 2001

First Named Inventor

Ron McAlpine et al.

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT

(\$388.00)

Attorney Docket Number

18872.0004

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 08-2442

Deposit Account Name:

Hodgson Russ LLP

3. ADDITIONAL FEES

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee

Code (\$) Code (\$)

Fee Description

Fee Paid

101 740 201 370 Utility filing fee \$370

106 330 206 165 Design filing fee \$

107 510 207 255 Plant filing fee \$

108 740 208 370 Reissue filing fee \$

114 160 214 80 Provisional filing fee \$

SUBTOTAL (1)

2. EXTRA CLAIM FEES

Extra Fee from
Claims below

Fee Paid

Total Claims /22/-20** = /2/ x /9/ = \$18

Independent Claims /2/- 3** = /0/ x /42/ = \$0

Multiple dependent / / x / / = \$

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$)

Fee Description

103 18 203 9 Claims in excess of 20 140

102 84 202 42 Independent claims in excess of 3 144

104 280 204 140 Multiple dependent claim if not paid 122

109 84 209 42 **Reissue independent claims over original patent 123

110 18 210 9 **Reissue claims in excess of 20 and over original patent 126

SUBTOTAL (2)

SIGNATURE: 

Martin G. Linihan

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DATE: November 30, 2001

Telephone: (716) 848-1367

*Reduced by basic filing fee paid

SUBTOTAL (3)

Express Mail Mailing Label Number EF380079813US

Date of Deposit November 30, 2001

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Martin G. Linihan

Name

Signature

November 30, 2001

Date of Signature

629040